

# RISE™

# etfmg™

## Rising Rate ETF

**RISE seeks to directly benefit from a rise in the interest rate of U.S. Treasury notes.**



Futures based hedge designed to protect against a downturn in bond prices during a period of rising rates



Institutional level investment management in a prepackaged solution



Hedge your duration risk with as little disruption to your existing fixed income portfolio as possible



Bond overlay strategy that is sub advised by Bryce Doty of Sit Investment Associates (14b Fixed Income focused RIA)

### FOR MORE INFORMATION

WEBSITE: [RISINGRATEETF.COM](http://RISINGRATEETF.COM)

EMAIL: [INFO@ETFMG.COM](mailto:INFO@ETFMG.COM)

SALES INQUIRIES: 1.844.383.6477

### FUND INFORMATION

Fund Inception 2/19/15

Ticker RISE

Expense Ratio 0.43%

Max Annual Expenses 1.00%<sup>1</sup>

CUSIP 26923H101

ISIN US26923H1014

Stock Exchange NYSE ARCA

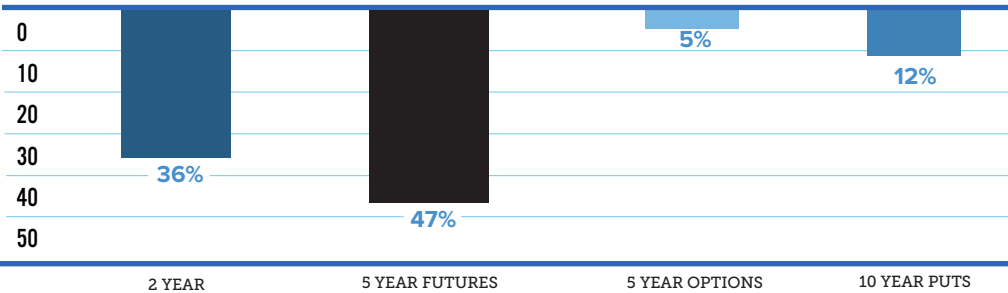
Intraday NAV (IIV) RISE.IV

NAV Symbol RISE.NV

Rebalance Frequency Monthly

<sup>1</sup>Related fees paid by the fund are capped at 1.00%

### RISING INTEREST RATE FUND CONSTRUCTION



**- 10 YEAR DURATION**

## PERFORMANCE

	CUMULATIVE				ANNUALIZED				
	1 month	3 months	YTD	Since Incep.	1 year	3 years	5 years	10 years	Since Incep.
MARKET PRICE	1.47%	1.90%	5.74%	0.49%	9.09%	2.22%			0.14%
NAV	1.80%	1.93%	6.27%	0.52%	8.69%	2.28%			0.14%

Performance data quoted represents past performance and does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance of the Funds may be lower or higher than the performance quoted. All performance is historical and includes reinvestment of dividends and capital gains. Performance data current to the most recent month end may be obtained by calling 1-844-ETF-MGRS (1-844-383-6477). Market returns are based on the midpoint of the bid/ask spread at 4 p. m. ET and do not represent the returns an investor would receive if shares were traded at other times. Performance is annualized for periods greater than 1 year.

## FUND OBJECTIVE

The Sit Rising Rate ETF seeks to profit from rising interest rates by tracking the performance, before fees and expenses, of a portfolio (the "Benchmark Portfolio Index") consisting of exchange traded futures contracts and options on futures on 2, 5, 10-year U.S. Treasury securities weighted to achieve a targeted negative 10 year average effective portfolio duration. The Benchmark Portfolio Index is maintained by Sit Fixed Income Advisors II, LLC.

## INVESTMENT STRATEGY

The weighting of the Treasury Instruments constituting the Benchmark Portfolio Index will be based on each maturity's duration contribution. The expected range for the duration weighted percentage of the 2 year and 5 year maturity Treasury Instruments will be from 30% to 70%. The expected range for the duration weighted percentage of the 10 year maturity Treasury Instruments will be from 5% to 25%. The relative weightings of the Benchmark Component Instruments will be shifted between maturities when there are material changes in the shape of the yield curve.

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**Carefully consider the Fund's investment objectives, risk factors, charges, and expenses before investing. This material must be accompanied or preceded by a prospectus. Please read the prospectus carefully before investing.**

An investment in the Fund involves risk. As with any investment, you could lose all or part of your investment in the Fund, and the Fund's performance could trail that of other investments. The Fund is subject to principal risks which may adversely affect the Fund's NAV, trading price, yield, total return and ability to meet its investment objective. The use of derivatives and/or short positions, such as futures and options, generally are volatile and are not suitable for all investors. The Fund's portfolio may differ significantly from the securities held in the index. You cannot invest directly in an index; therefore its performance does not reflect the expenses associated with the active management of an actual portfolio. The Fund is speculative and involves a high degree of risk. An investor may lose all or substantially all of an investment in the Fund. Shares of the Fund are not FDIC insured, may lose value and have no bank guarantee. Investments in debt securities typically decrease in value when interest rates rise, however, the Fund attempts to maintain a portfolio with a negative effective duration and therefore anticipates that an increase in interest rates may increase the Fund's value, and a decrease in rates may lower the Fund's value.

**The Fund is not a mutual fund or any other type of investment company within the meaning of the Investment Company Act of 1940, as amended, and is not subject to regulation thereunder.**

The NAV of the Fund's shares relates directly to the value of U.S. Treasuries and Treasury Instruments held by the Fund which are materially impacted by interest rate movements. The magnitude of the impact on value from a change in interest rates is often greater for longer-term fixed income securities than shorter-term securities. You should carefully consider whether your financial condition permits you to participate in a commodity pool. In doing so, you should be aware that commodity interest trading can quickly lead to large losses as well as gains. Such trading losses can sharply reduce the net asset value of the pool and consequently the value of your interest in the pool. In addition, restriction on redemptions may affect your ability to withdraw your participation in the pool. Further, commodity pools may be subject to substantial charges for management, advisory and brokerage fees.

It may be necessary for those pools that are subject to these charges to make substantial trading profits to avoid depletion or exhaustion of their assets. Sit Fixed Income Advisors II, LLC ("Sit Advisors") is registered as a "commodity trading advisor" and will act as such for the Fund. Sit Advisors is a subsidiary of Sit Investment Associates, Inc. ("Sit"). Sit is a full product global asset manager offering management expertise in domestic equities, international equities and fixed income instruments.

The fund is distributed by ETFMG Financial LLC. ETF Managers Group LLC and ETFMG Financial LLC are wholly owned subsidiaries of Exchange Traded Managers Group LLC (collectively, "ETFMG"). ETFMG Financial LLC is not affiliated with Sit Fixed Income Advisors.

